

Terms and Conditions governing the Gold Privileges Rewards for 2018Q3

- 1) The Promotion is valid between 1 July 2018 and 30 September 2018 (both dates inclusive) or such other period as may be determined by OSPL at its absolute discretion (the "Promotion Period").
- 2) Employees, Remisers, and Account Executives of OCBC Securities Private Limited ("OSPL") and their immediate family members are not eligible to participate in the Gold Privileges Rewards for 2018Q3 (the "Promotion").
- 3) Only individual and joint accounts opened by retail customers ("Customers", and each a "Customer") are eligible for the Promotion. Corporate accounts will not be considered.
- 4) Customers who are under Evergold status of the Gold Privileges programme are not eligible for the Promotion.
- 5) Subject to these terms and conditions and provided that the conditions set out in Clauses 2, 3 and 4 are fulfilled, each Customer is entitled to receive the following rewards ("Rewards") when they meet the respective criteria during the Promotion Period:

Status	Elite	Premium	Gold
Criteria	Accumulate over S\$10,000 brokerage* from Jul – Sep 2018	Accumulate S\$5,000-S\$10,000 brokerage* from Jul – Sep 2018	Accumulate S\$3,000-S\$4,999 brokerage* from Jul – Sep 2018
Rewards	<ul style="list-style-type: none"> • S\$100 trade rebate • Exclusive invitations to the <i>Trading Matters with Samuel Wong</i> seminars from Nov 2018 to Jan 2019 • Priority registration for seminars/events from Nov 2018 to Jan 2019 	<ul style="list-style-type: none"> • S\$50 trade rebate • Exclusive invitations to the <i>Trading Matters with Samuel Wong</i> seminars from Nov 2018 to Jan 2019 	<ul style="list-style-type: none"> • Exclusive invitations to the <i>Trading Matters with Samuel Wong</i> seminars from Nov 2018 to Jan 2019
StayGold Bonus: a bonus reward for maintaining the same status from the previous quarter	S\$100 trade rebate	S\$80 trade rebate	S\$50 trade rebate
TradeMore Bonus: a bonus reward available to Elite, Premium or Gold status Customers for making 10	S\$100 trade rebate		

more trades than your previous quarter	
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*Note: Brokerage refers to gross brokerage for all trades performed using Cash, Margin and/or Share Borrowing accounts.

- 6) The trade rebate entitled by each Customer will be credited into each Customer's trading account or (if a Customer has more than 1 trading account) any of such Customer's trading accounts as may be selected by OSPL latest by 1 Nov 2018.
- 7) Customers may only enjoy either the Elite, Premium or Gold Rewards (as applicable). Customers may enjoy either the Elite, Premium or Gold Rewards (as applicable) with the applicable Bonus Reward at the same time.
- 8) Each Customer is only entitled to receive the rewards once, notwithstanding he/she may have more than one account and the criteria in Clause 4 are fulfilled for more than one such account.
- 9) To enjoy the StayGold and TradeMore Bonuses, Customer must attain a Gold Privileges status in both the previous quarter and the current quarter.
- 10) Invitations to the seminar, *Trading Matters with Samuel Wong*, will only be sent to Customers with email addresses in OSPL database, and who have given consent to receive marketing material from OSPL. OSPL shall not be responsible for any error, failure, or delay of transmission of the invitations sent to the email addresses of such Customers.
- 11) Priority registration to the seminar and/or events organised by us does not constitute availability. Seats are limited and are available for registration on a first-come-first-served basis.
- 12) Customers will be disqualified for this Promotion if:
 - (a) his/her own account(s) with OSPL is/are closed before the Rewards are given;
 - (b) any of his/her accounts with OSPL is reported for delinquency; or
 - (c) he/she has outstanding contra losses with OSPL which are overdue for more than 21 calendar days.
- 13) If any Customer is subsequently discovered to be not entitled or ineligible to participate in the Promotion or to receive the Rewards, OSPL reserves the right to (i) forfeit, withdraw or withhold the Rewards at any time or (ii) (where the Rewards has been awarded and/or utilised) reclaim the Rewards or request the relevant Customer to repay to or compensate OSPL the Rewards at any time. No person shall be entitled to any payment or compensation from OSPL should any Rewards be forfeited, withdrawn, withheld or if any Rewards are reclaimed by OSPL or a Customer is asked to repay to or compensate OSPL the relevant Rewards for whatsoever reasons.
- 14) OSPL may, at any time at its absolute discretion, without notice or assigning any reason therefore, (a) change the amount of the Rewards or (b) replace or substitute the Rewards with any other prize/gift. OSPL may also at any time at its sole and absolute discretion, without notice or assigning any reason therefore, terminate the Promotion or vary, supplement, amend or modify any one or more of these terms and conditions in any manner as it shall deem fit. OSPL shall not be liable to any person for any claims, costs, expenses, loss or damage suffered by any person as a result of the aforementioned matters.

- 15) The Rewards are strictly not transferable to any party whatsoever. Further, the Rewards are also not exchangeable for cash, other forms of credit, or otherwise unless otherwise permitted by OSPL in its sole and absolute discretion.
- 16) OSPL shall not be responsible for any loss to or damage incurred or suffered by any customer or any other person in connection with this Promotion, howsoever arising, including, without limitation, any error in computing trade commissions and trades, any breakdown or malfunction in any computer system or equipment or any notice which is misdirected or lost in the post.
- 17) The eligibility of each customer to participate in the Promotion and/or to receive the Rewards shall be determined at the absolute discretion of OSPL. The decision of OSPL on all matters relating to the Promotion shall be final and no correspondence or queries will be entertained.
- 18) In the event of any inconsistency between these terms and conditions and any brochure, marketing or promotional material relating to the Promotion, these terms and conditions shall prevail.
- 19) These terms and conditions shall be governed by the laws of Singapore and the participants in the Promotion irrevocably submit to the non-exclusive jurisdiction of the courts of Singapore.
- 20) A person who is not a party to any agreement governed by these terms and conditions shall have no right under the Contracts (Rights of Third Parties) Act (Cap 53B) to enforce any of these terms and conditions. Without prejudice to the generality of the above, the consent of any third party is not required for any variation (including any release or compromise of any liability) or termination of these terms and conditions, notwithstanding any term herein to the contrary.
- 21) Without prejudice to these terms and conditions, all participants in the Promotion expressly and irrevocably permit and authorise OSPL to disclose, reveal and divulge information regarding their particulars to the parties involved in organising, promoting and conducting the Promotion.

Important Notes:

Trading in securities can be very risky, and you may lose all or more than the amount invested or deposited. Where necessary, please seek advice from an independent financial adviser regarding the suitability of any trade or investment product taking into account your investment objectives, financial situation or particular needs before making a commitment to trade or purchase the investment product. You should consider carefully and exercise caution in making any trading decision whether or not you have received advice from any financial adviser.