



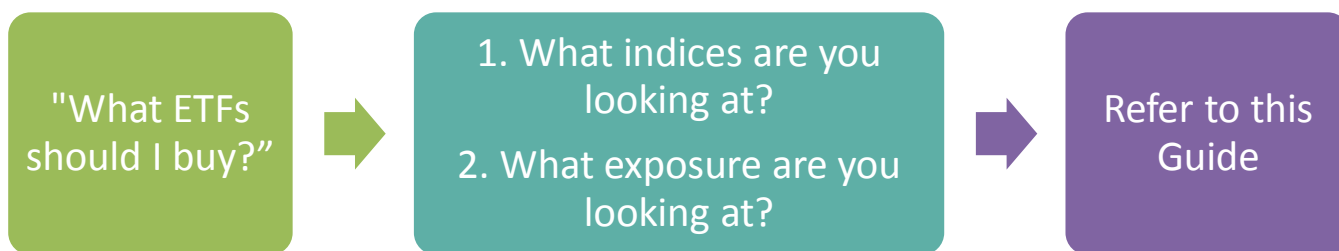
Exchange-Traded Funds (ETF)  
Quick Reference Guide

**Important notes:**

*Trading in securities, futures and/or leveraged foreign exchange and borrowing to finance the trading of securities (leveraging/gearing) can be very risky, and you may lose all or more than the amount invested or deposited. Where necessary, please seek advice from an independent financial adviser regarding the suitability of any trade or investment product taking into account your investment objectives, financial situation or particular needs before making a commitment to trade or purchase the investment product. You should consider carefully and exercise caution in making any trading decision whether or not you have received advice from any financial adviser. If you choose not to seek independent financial advice, please consider whether the trade or product in question is suitable for you.*

*For trading in leveraged securities and/or foreign exchange, the amount of initial margin is small relative to the value of the transaction. As such, the transaction is highly 'leveraged' or 'geared'. A relatively small market movement will have a proportionately larger impact on the funds you have deposited or will have to deposit; this can work in your favour or against you. You may sustain a total loss of the initial margin funds and any additional funds deposited with the firm to maintain your position. If the value of the collaterals declines substantially, falling below the maintenance margin requirement, or if the market moves against your position or margin levels are increased, you may be called upon to deposit substantial additional funds on short notice in order to maintain your position. If you fail to comply with a request for additional funds within the specified time, your position may be liquidated at a loss and you will be liable for any resulting deficit in your account.*

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## Introduction

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## UNITED STATES EQUITY

### SPDR S&P 500 ETF Trust

<b>Ticker</b>	SPY	<b>Total Assets (US\$M)</b>	266,959	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.09	<b>Div Yield (%)</b>	1.75	<b>30D Avg Turnover ('000)</b>	19,037,993

SPDR S&P 500 ETF Trust is an exchange-traded fund incorporated in the USA. The ETF tracks the S&P 500 Index. The Trust consists of a portfolio representing all 500 stocks in the S&P 500 Index. It holds predominantly large-cap U.S. stocks. This ETF is structured as a Unit Investment Trust and pays dividends on a quarterly basis. The holdings are weighted by market capitalization.

### Vanguard Total Stock Market ETF

<b>Ticker</b>	VTI	<b>Total Assets (US\$M)</b>	99,579	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.04	<b>Div Yield (%)</b>	1.64	<b>30D Avg Turnover ('000)</b>	240,376

Vanguard Total Stock Market ETF is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the CRSP US Total Market Index. The ETF holds U.S. stocks of all cap sizes. Its investments aim to represent the entire U.S. Equity Market. The ETF holds over 3,500 securities and weights these holdings by market capitalization.

### Vanguard S&P 500 ETF

<b>Ticker</b>	VOO	<b>Total Assets (US\$M)</b>	93,010	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.04	<b>Div Yield (%)</b>	1.74	<b>30D Avg Turnover ('000)</b>	506,784

Vanguard S&P 500 ETF is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the S&P 500 Index. The ETF primarily holds large-cap U.S. stocks. It invests in all 500 stocks that comprise the index. The ETF weights the holdings using a market capitalization methodology and rebalances quarterly.

### Invesco QQQ Trust Series 1

<b>Ticker</b>	QQQ	<b>Total Assets (US\$M)</b>	66,291	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.20	<b>Div Yield (%)</b>	0.75	<b>30D Avg Turnover ('000)</b>	5,426,880

Invesco QQQ Trust Series 1 is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the Nasdaq 100 Index. The ETF holds large cap U.S. stocks. Its investments exclude the financial sector and therefore, tend to be focused on the technology and consumer sector. The ETF weights the holdings using a market capitalization methodology.

## UNITED STATES EQUITY

### iShares Core S&P Mid-Cap ETF

<b>Ticker</b>	IJH	<b>Total Assets (US\$M)</b>	48,356	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.20	<b>Div Yield (%)</b>	1.21	<b>30D Avg Turnover ('000)</b>	192,456

iShares Core S&P Mid-Cap ETF is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the S&P MidCap 400 Index. The ETF holds midcap U.S. stocks. Its investments are chosen using a representative sampling strategy to track the Index. The ETF weights the holdings using a market capitalization methodology and rebalances quarterly.

### iShares Russell 2000 ETF

<b>Ticker</b>	IWM	<b>Total Assets (US\$M)</b>	47,967	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.20	<b>Div Yield (%)</b>	1.14	<b>30D Avg Turnover ('000)</b>	2,791,043

iShares Russell 2000 ETF is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the Russell 2000 Index Fund. The ETF holds mid and small-cap U.S. stocks. Its investments are in the smallest 2000 companies from the Russell 3000 Index. The ETF weights the holdings by market capitalization and rebalances annually.

### iShares Russell 1000 Growth ETF

<b>Ticker</b>	IWF	<b>Total Assets (US\$M)</b>	43,367	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.20	<b>Div Yield (%)</b>	1.05	<b>30D Avg Turnover ('000)</b>	225,491

iShares Russell 1000 Growth ETF is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the Russell 1000 Growth Index. The ETF holds mostly large-cap U.S. stocks. Its investments are in companies whose earnings are expected to grow at an above-average rate relative to the market. The ETF weights the holdings by market capitalization.

### iShares Core S&P Small-Cap ETF

<b>Ticker</b>	IJR	<b>Total Assets (US\$M)</b>	43,230	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.07	<b>Div Yield (%)</b>	1.10	<b>30D Avg Turnover ('000)</b>	264,812

iShares Core S&P Small-Cap ETF is an exchange-traded fund incorporated in the USA. The Fund seeks investment results that correspond to the performance of the S&P SmallCap 600 Index. The Fund uses a Representative sampling strategy to track the Index. The Index measures the performance of publicly traded securities in the small capitalization sector of the US equity market.

### Financial Select Sector SPDR Fund

<b>Ticker</b>	XLFX	<b>Total Assets (US\$M)</b>	33,047	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.13	<b>Div Yield (%)</b>	1.53	<b>30D Avg Turnover ('000)</b>	1,349,923

Financial Select Sector SPDR Fund is an exchange-traded fund incorporated in the USA. The Fund's objective is to provide investment results that, before expenses, correspond to the performance of The Financial Select Sector. The Index includes financial services firms whose business' range from investment management to commercial & business banking.

## UNITED STATES FIXED INCOME

### Vanguard Total Bond Market ETF

<b>Ticker</b>	BND	<b>Total Assets (US\$M)</b>	36,563	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.05	<b>Div Yield (%)</b>	2.72	<b>30D Avg Turnover ('000)</b>	156,540

Vanguard Total Bond Market ETF is an exchange-traded fund incorporated in the USA. The Fund seeks to track the performance of the Bloomberg Barclays Capital Aggregate Bond Index, which measures a wide spectrum of public, investment-grade, taxable, fixed income securities in the U.S.

### iShares iBoxx \$ Investment Grade Corporate Bond ETF

<b>Ticker</b>	LQD	<b>Total Assets (US\$M)</b>	32,202	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.15	<b>Div Yield (%)</b>	3.37	<b>30D Avg Turnover ('000)</b>	524,663

iShares iBoxx \$ Investment Grade Corporate Bond ETF is an exchange-traded fund incorporated in the USA. The ETF seeks to track the investment results of an index composed of U.S. dollar-denominated, investment-grade corporate bonds.

### Vanguard Short-Term Bond ETF

<b>Ticker</b>	BSV	<b>Total Assets (US\$M)</b>	23,958	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.07	<b>Div Yield (%)</b>	1.78	<b>30D Avg Turnover ('000)</b>	131,257

Vanguard Short-Term Bond ETF is an exchange-traded fund incorporated in the USA. The Fund seeks to track the performance of the Bloomberg Barclays Capital 1-5 Year Government/Credit Index, which includes all medium and larger issues of U.S. government, investment-grade corporate, and investment-grade international bonds that have a maturities between 1 and 5 years.

### iShares TIPS Bond ETF

<b>Ticker</b>	TIP	<b>Total Assets (US\$M)</b>	24,038	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.20	<b>Div Yield (%)</b>	2.52	<b>30D Avg Turnover ('000)</b>	137,539

iShares TIPS ETF is an exchange-traded fund incorporated in the USA. The ETF will track the Bloomberg Barclays Capital US Treasury Inflation Notes Index. The Index measures the performance of inflation protected public obligation of the US Treasury, also known as "TIPS".

## UNITED STATES (LEVERAGED 2X)

### ProShares Ultra S&P500

<b>Ticker</b>	SSO	<b>Total Assets (US\$M)</b>	2,451	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.89	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	215,552

ProShares Ultra S&P500 ETF is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the daily performance of the S&P 500 Index.

### ProShares Ultra QQQ

<b>Ticker</b>	QLD	<b>Total Assets (US\$M)</b>	1,876	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	118,029

Ultra QQQ ProShares is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the daily performance of the NASDAQ-100 Index.

### ProShares Ultra Dow30

<b>Ticker</b>	DDM	<b>Total Assets (US\$M)</b>	448	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	28,625

ProShares Ultra Dow30 is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the daily performance of the Dow Jones Industrial Average.

### ProShares Ultra Financials

<b>Ticker</b>	UYG	<b>Total Assets (US\$M)</b>	925	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	4,420

ProShares Ultra Financials is an exchange-traded fund incorporated in the USA. The ETF seeks to double (200%) the daily performance of the Dow Jones U.S. Financials Index. The ETF holds equity securities and daily swaps to obtain its 2X daily performance. Its investments are focused on large and mid-cap U.S. financial stocks. The ETF uses a market capitalization weighting methodology.

### ProShares Ultra Russell2000

<b>Ticker</b>	UWM	<b>Total Assets (US\$M)</b>	269	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	10,274

ProShares Ultra Russell2000 is an exchange traded-fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the daily performance of the Russell 2000 Index.

### ProShares Ultra Oil & Gas

<b>Ticker</b>	DIG	<b>Total Assets (US\$M)</b>	128	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	3,439

Ultra Oil & Gas Proshares is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the daily performance of the Dow Jones U.S. Oil & Gas Index.

## UNITED STATES (LEVERAGED 3X)

### ProShares UltraPro S&P 500

<b>Ticker</b>	UPRO	<b>Total Assets (US\$M)</b>	1,490	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	214,104

ProShares UltraPro S&P 500 is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results, before fees and expenses, that correspond to triple (300%) the daily performance of the S&P 500 Index.

### Direxion Daily S&P 500 Bull 3X

<b>Ticker</b>	SPXL	<b>Total Assets (US\$M)</b>	1,064	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	175,369

Direxion Daily S&P 500 Bull 3X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results, before fees and expenses, of 300% of the performance of the S&P 500 Index ("Large Cap Index").

### ProShares UltraPro QQQ

<b>Ticker</b>	TQQQ	<b>Total Assets (US\$M)</b>	3,550	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	722,414

ProShares UltraPro QQQ is an exchange-traded fund incorporated in the USA. The Fund seeks investment results which correspond to three times (300%) the daily performance of the NASDAQ-100 Index.

### ProShares UltraPro Dow30

<b>Ticker</b>	UDOW	<b>Total Assets (US\$M)</b>	580	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	108,554

ProShares UltraPro Dow30 is an exchange-traded fund incorporated in the USA. The Fund seeks investment results which correspond to three times (300%) the daily performance of the Dow Jones 30 Index.

### ProShares UltraPro Financial Select Sector

<b>Ticker</b>	FINU	<b>Total Assets (US\$M)</b>	62	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	2,412

ProShares UltraPro 3x Financials is an exchange-traded fund incorporated in the USA. The Fund seeks investment results, before fees and expenses, that correspond to three times (3x) the daily performance of the S&P Financial Select Sector Index.

### Direxion Daily Financial Bull 3X Shares

<b>Ticker</b>	FAS	<b>Total Assets (US\$M)</b>	2,005	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	104,501

Direxion Daily Financial Bull 3X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results, before fees and expenses, of 300% of the performance of the Russell 1000 Financial Services Index ("Financial Index"). The Fund invests in at least 80% of its net assets in the equity securities that comprise the Financial Index



## UNITED STATES (LEVERAGED)

### ProShares UltraPro Russell2000

<b>Ticker</b>	URTY	<b>Total Assets (US\$M)</b>	164	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	8,862

ProShares UltraPro Russell2000 is an exchange-traded fund incorporated in the USA. The Fund seeks investment results which correspond to three times (300%) the daily performance of the Russell 2000 Index. The ETF uses a derivative based replication strategy and weights the holdings using a market capitalization methodology.

### Direxion Daily Small Cap Bull 3X Shares

<b>Ticker</b>	TNA	<b>Total Assets (US\$M)</b>	777	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	182,279

Direxion Daily Small Cap Bull 3X Shares is and exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results, before fees and expenses, of 300% of the performance of the Russell 2000 Index ("Small Cap Index"). The ETF is market cap weighted and invests in at least 80% of its net assets in the equity securities that comprise the Small Cap Index.

## UNITED STATES (INVERSE 1X)

### ProShares Short S&P500

<b>Ticker</b>	SH	<b>Ttl Assets (US\$M)</b>	1,303	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.89	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	68,716

ProShares Short S&P500 is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to the inverse (opposite) of the daily performance of the S&P 500 Index.

### ProShares Short QQQ

<b>Ticker</b>	PSQ	<b>Ttl Assets (US\$M)</b>	321	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	32,686

ProShares Short QQQ is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to the inverse (opposite) of the daily performance of the NASDAQ-100 Index.

### ProShares Short Dow30

<b>Ticker</b>	DOG	<b>Ttl Assets (US\$M)</b>	211	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	24,408

ProShares Short Dow30 is an exchange-traded fund incorporated in the USA. The Fund seeks investment results that correspond to the inverse (opposite) of the daily performance of the Dow Jones Industrial Average.

### ProShares Short Financials

<b>Ticker</b>	SEF	<b>Ttl Assets (US\$M)</b>	20	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	216

Short Financials ProShares is an exchange-traded fund incorporated in the USA. The Fund seeks investment results that correspond to the inverse (opposite) of the daily performance of the underlying index.

### ProShares Short Russell2000

<b>Ticker</b>	RWM	<b>Ttl Assets (US\$M)</b>	244	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	9,134

ProShares Short Russell 2000 is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to the inverse (opposite) of the daily performance of the Russell 2000 Index.

## UNITED STATES (INVERSE 2X)

### ProShares UltraShort S&P500

<b>Ticker</b>	SDS	<b>Ttl Assets (US\$M)</b>	831	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.89	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	168,627

ProShares UltraShort S&P500 is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the inverse (opposite) of the daily performance of the S&P 500 Index.

### ProShares UltraShort QQQ

<b>Ticker</b>	QID	<b>Ttl Assets (US\$M)</b>	247	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	71,628

UltraShort QQQ ProShares is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the inverse (opposite) of the daily performance of the NASDAQ-100 Index.

## UNITED STATES (INVERSE 2X)

### ProShares UltraShort Dow30

<b>Ticker</b>	DXD	<b>Ttl Assets (US\$M)</b>	138	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	18,414

ProShares UltraShort Dow30 is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the inverse (opposite) of the daily performance of the Dow Jones Industrial Average.

### ProShares UltraShort Financials

<b>Ticker</b>	SKF	<b>Ttl Assets (US\$M)</b>	26	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	474

UltraShort Financials ProShares is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the inverse (opposite) of the daily performance of the Dow Jones U.S. Financials Index.

### ProShares UltraShort Russell2000

<b>Ticker</b>	TWM	<b>Ttl Assets (US\$M)</b>	90	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	13,759

UltraShort Russell 2000 ProShares is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the inverse (opposite) of the daily performance of the Russell 2000 Index.

## UNITED STATES (INVERSE 3X)

### ProShares UltraPro Short S&P 500

<b>Ticker</b>	SPXU	<b>Ttl Assets (US\$M)</b>	447	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.92	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	122,160

ProShares UltraPro Short S&P 500 is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results, before fees and expenses, that correspond to triple (300%) the inverse of the daily performance of the S&P 500 Index.

### Direxion Daily S&P 500 Bear 3X Shares

<b>Ticker</b>	SPXS	<b>Ttl Assets (US\$M)</b>	284	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	106,090

Direxion Daily S&P 500 Bear 3X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results, before fees and expenses, of 300% of the inverse (opposite) of the performance of the S&P 500 Index ("Large Cap Index").

### ProShares UltraPro Short QQQ

<b>Ticker</b>	SQQQ	<b>Ttl Assets (US\$M)</b>	575	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	243,041

ProShares UltraPro Short QQQ is an exchange-traded fund incorporated in the USA. The Fund seeks investment results which correspond to three times (300%) the inverse of the daily performance of the NASDAQ-100 Index.

## UNITED STATES (INVERSE 3X)

### ProShares UltraPro Short Dow30

<b>Ticker</b>	SDOW	<b>Ttl Assets (US\$M)</b>	179	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	60,351

ProShares UltraPro Short Dow30 is an exchange-traded fund incorporated in the USA. The Fund seeks investment results which correspond to three times (300%) the inverse of the daily performance of the Dow Jones 30 Index.

### ProShares UltraPro Short Financial Select Sector

<b>Ticker</b>	FINZ	<b>Ttl Assets (US\$M)</b>	1	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	12

ProShares UltraPro Short Financials is an exchange-traded fund incorporated in the USA. The Fund seeks investment results, before fees and expenses, that correspond to three times the inverse (-3x) the daily performance of the S&P Financial Select Sector Index.

### Direxion Daily Financial Bear 3X Shares

<b>Ticker</b>	FAZ	<b>Ttl Assets (US\$M)</b>	141	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	20,564

Direxion Daily Financial Bear 3X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results, before fees and expenses, of 300% of the inverse of the performance of the Russell 1000 Financial Index.

### ProShares UltraPro Short Russell2000

<b>Ticker</b>	SRTY	<b>Ttl Assets (US\$M)</b>	70	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	7,861

ProShares UltraPro Short Russell2000 is an exchange-traded fund incorporated in the USA. The Fund seeks investment results which correspond to three times (300%) the inverse of the daily performance of the Russell 2000 Index.

### Direxion Daily Small Cap Bear 3X Shares

<b>Ticker</b>	TZA	<b>Ttl Assets (US\$M)</b>	356	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	79,148

Direxion Daily Small Cap Bear 3X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results, before fees and expenses, of 300% of the inverse of the performance of the Small Cap Index. The Fund invests in at least 80% of its net assets in Financial Instruments that, provide exposure to the Small Cap Index.

## CHINA & HONG KONG

### Tracker Fund of Hong Kong Ltd

<b>Ticker</b>	2800 HK	<b>Ttl Assets (US\$M)</b>	12,963	<b>Traded Currency</b>	HKD
<b>Exp. Ratio</b>	0.10	<b>Div Yield (%)</b>	2.97	<b>30D Avg Turnover ('000)</b>	782,222

Tracker Fund of Hong Kong (TraHK) is an ETF registered in Hong Kong. The Fund seeks to provide investment results that closely correspond to the performance of the Hang Seng Index through investing all, or substantially all, of the Fund's assets in Index Shares in substantially the same weightings as they appear in the Hang Seng Index.

### Hang Seng Investment Index Funds Series - Hang Seng CEI ETF

<b>Ticker</b>	2828 HK	<b>Ttl Assets (US\$M)</b>	4,731	<b>Traded Currency</b>	HKD
<b>Exp. Ratio</b>	0.55	<b>Div Yield (%)</b>	2.18	<b>30D Avg Turnover ('000)</b>	1,538,249

Hang Seng Investment Index Funds Series - Hang Seng China Enterprises Index ETF is an exchange-traded fund established in Hong Kong. The Fund's objective is to provide investment returns that match the performance of the Hang Seng China Enterprises Index (H-Share Index). The Fund invests in constituent stocks of the H-Share Index.

### Hang Seng Investment Index Funds Series II - Hang Seng Index ETF

<b>Ticker</b>	2833 HK	<b>Ttl Assets (US\$M)</b>	7,282	<b>Traded Currency</b>	HKD
<b>Exp. Ratio</b>	0.13	<b>Div Yield (%)</b>	2.34	<b>30D Avg Turnover ('000)</b>	8,748

Hang Seng Investment Index Funds Series II - HSI ETF is an exchange-traded fund established in Hong Kong. The Fund's objective is to match, before expenses, as closely as practicable the performance of the Hang Seng Index. The Fund invests in constituent stocks of the Hang Seng Index.

### iShares FTSE A50 China Index ETF

<b>Ticker</b>	2823 HK	<b>Ttl Assets (US\$M)</b>	2,906	<b>Traded Currency</b>	HKD
<b>Exp. Ratio</b>	0.99	<b>Div Yield (%)</b>	2.18	<b>30D Avg Turnover ('000)</b>	400,454

iShares FTSE A50 China Index ETF is an exchange-traded fund established in Hong Kong. The investment objective of the iShares FTSE A50 China Index ETF is to provide investment results that, before fees and expenses, closely correspond to the performance of A50 Index.

### CSOP FTSE China A50 ETF

<b>Ticker</b>	2822 HK	<b>Ttl Assets (US\$M)</b>	2,320	<b>Traded Currency</b>	HKD
<b>Exp. Ratio</b>	1.08	<b>Div Yield (%)</b>	2.11	<b>30D Avg Turnover ('000)</b>	531,623

CSOP FTSE China A50 ETF is an exchange-traded fund incorporated in Hong Kong. The Fund seeks to track the performance of the FTSE China A50 Index. The Fund invests most of its total assets in the equities of member companies of the FTSE China A50 Index. This is a RQFII fund.

### ChinaAMC ETF Series - ChinaAMC CSI 300 Index ETF

<b>Ticker</b>	3188 HK	<b>Ttl Assets (US\$M)</b>	1,701	<b>Traded Currency</b>	HKD
<b>Exp. Ratio</b>	0.83	<b>Div Yield (%)</b>	0.80	<b>30D Avg Turnover ('000)</b>	323,171

ChinaAMC ETF Series - ChinaAMC CSI 300 Index ETF is an exchange-traded fund incorporated in Hong Kong. The Fund seeks to track the performance of the CSI 300 Index. The Fund mainly invests most of its total assets in the equities of member companies of the CSI 300 Index. This is a RQFII fund.

## CHINA & HONG KONG

### iShares China Large-Cap ETF

<b>Ticker</b>	FXI US	<b>Ttl Assets (US\$M)</b>	4,589	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.73	<b>Div Yield (%)</b>	2.22	<b>30D Avg Turnover ('000)</b>	906,932

iShares China Large-Cap ETF is an exchange-traded fund incorporated in the USA. The ETF tracks the FTSE China 50 Index, investing in large cap stocks. Its investments are focused in the financial, oil and gas, technology and communications sectors. The ETF uses a representative sampling indexing strategy, investing at least 90% of its assets in the securities of the underlying index.

### ChinaAMC MSCI China A Inclusion Index ETF

<b>Ticker</b>	3197 HK	<b>Ttl Assets (US\$M)</b>	23	<b>Traded Currency</b>	HKD
<b>Exp. Ratio</b>	1.30	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	8,931

ChinaAMC ETF Series - ChinaAMC MSCI China A Inclusion Index ETF is an exchange-traded fund incorporated in Hong Kong. The Fund seeks to track the performance of the MSCI China A Inclusion Index. The Fund mainly invests most of its total assets in the equities of member companies of the MSCI China A Inclusion Index.

### CSOP SZSE ChiNext ETF

<b>Ticker</b>	3147 HK	<b>Ttl Assets (US\$M)</b>	40	<b>Traded Currency</b>	HKD
<b>Exp. Ratio</b>	1.27	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	1,994

CSOP SZSE ChiNext ETF is an exchange-traded fund incorporated in Hong Kong. The Fund seeks provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the ChiNext Index. This is a RQFII fund.

## CHINA (LEVERAGED)

### Direxion Daily CSI 300 China A Share Bull 2X Shares

<b>Ticker</b>	CHAU US	<b>Total Assets (US\$M)</b>	75	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	2,567

Direxion Daily CSI 300 China A Share Bull 2X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results of 200% of the CSI 300 Index. The ETF does not seek to achieve its stated investment objective over a period of time greater than one day.

### ProShares Ultra FTSE China 50

<b>Ticker</b>	XPP US	<b>Total Assets (US\$M)</b>	46	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	579

ProShares Ultra FTSE China 50 is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to twice (200%) the daily performance of the FTSE/Xinhua China 50 Index. The Fund invests in equity securities that should have similar daily return of FTSE/Xinhua China 50 Index.

### Direxion Daily FTSE China Bull 3X Shares

<b>Ticker</b>	YINN US	<b>Total Assets (US\$M)</b>	320	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	28,171

Direxion Daily FTSE China Bull 3X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results, before fees and expenses, of 300% of the performance of the FTSE China 50 Index.

## CHINA (INVERSE)

### Direxion Daily CSI 300 China A Share Bear 1X Shares

<b>Ticker</b>	CHAD US	<b>Total Assets (US\$M)</b>	76	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.80	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	128

Direxion Daily CSI 300 China A Share Bear 1X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results of 100% the inverse (opposite) of the CSI 300 Index. The ETF does not seek to achieve its stated investment objective over a period of time greater than one day.

### ProShares Short FTSE China 50

<b>Ticker</b>	YXI US	<b>Total Assets (US\$M)</b>	5	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	88

ProShares Short FTSE/Xinhua China 50 is an exchange-traded fund incorporated in the USA. The Fund seeks daily investment results that correspond to the inverse (opposite) of the daily performance of the FTSE/Xinhua China 50 Index.

### ProShares UltraShort FTSE China 50

<b>Ticker</b>	FXP US	<b>Total Assets (US\$M)</b>	26	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	701

ProShares UltraShort FTSE China 50 is an exchange-traded fund incorporated in the USA. The Fund seeks investment results that correspond to the performance of 200% the inverse (opposite) of the FTSE China 50 Index.

## CHINA (INVERSE)

### Direxion Daily FTSE China Bear 3X Shares

<b>Ticker</b>	YANG US	<b>Total Assets (US\$M)</b>	56	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	5,560

Direxion Daily FTSE China Bear 3X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results, before fees and expenses, of 300% of the inverse (the opposite) of the performance of the FTSE China 50 Index.



## EUROPE EQUITY

### iShares Core DAX UCITS ETF DE

<b>Ticker</b>	DAXEX GR	<b>Total Assets (US\$M)</b>	8,495	<b>Traded Currency</b>	EUR
<b>Exp. Ratio</b>	0.16	<b>Div Yield (%)</b>	0.42	<b>30D Avg Turnover ('000)</b>	1,300

iShares Core DAX UCITS ETF (DE) is an open-end, UCITS compliant exchange traded fund incorporated in Germany. The fund aims to track the performance of the DAX index. The fund reinvests income received back into the fund.

### Vanguard FTSE Europe ETF

<b>Ticker</b>	VGK US	<b>Total Assets (US\$M)</b>	18,209	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.10	<b>Div Yield (%)</b>	2.70	<b>30D Avg Turnover ('000)</b>	239,634

Vanguard FTSE Europe ETF is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the FTSE Developed Europe Index. The ETF holds large and mid-cap European stocks. Its investments are focused in Western Europe. The ETF weights the holdings using a market capitalization methodology.

### WisdomTree Europe Hedged Equity Fund

<b>Ticker</b>	HEDJ US	<b>Total Assets (US\$M)</b>	6,018	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.58	<b>Div Yield (%)</b>	2.16	<b>30D Avg Turnover ('000)</b>	72,655

WisdomTree Europe Hedged Equity Fund is an exchange-traded fund incorporated in the USA. The Fund seeks investment results of the WisdomTree Europe Hedged Equity Index which provides exposure to Eurozone stocks while neutralizing currency risk relative to the US Dollar.

### iShares EURO STOXX 50 UCITS ETF DE

<b>Ticker</b>	SX5EEX	<b>Total Assets (US\$M)</b>	9,851	<b>Traded Currency</b>	EUR
<b>Exp. Ratio</b>	0.10	<b>Div Yield (%)</b>	2.64	<b>30D Avg Turnover ('000)</b>	68

iShares EURO STOXX 50 UCITS ETF (DE) is an open-end UCITS compliant exchange traded fund incorporated in Germany. The fund aims to track the performance of the EURO STOXX 50 index. The fund distributes income received to shareholders.

### Lyxor EURO STOXX 50 DR UCITS ETF

<b>Ticker</b>	MSEU LN	<b>Total Assets (US\$M)</b>	8,021	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.20	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	53

Lyxor UCITS ETF Euro Stoxx 50 is an open-end UCITS compliant exchange traded fund incorporated in France. The Fund's investment objective is to track the performance of the EURO STOXX 50.

### Lyxor EURO STOXX 50 DR UCITS ETF

<b>Ticker</b>	MSEX LN	<b>Total Assets (US\$M)</b>	8,021	<b>Traded Currency</b>	GBP
<b>Exp. Ratio</b>	0.20	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	529

Lyxor UCITS ETF Euro Stoxx 50 is an open-end UCITS compliant exchange traded fund incorporated in France. The Fund's investment objective is to track the performance of the EURO STOXX 50.

## EUROPE EQUITY

### iShares MSCI Europe UCITS ETF EUR Dist

<b>Ticker</b>	IMEU LN	<b>Total Assets (US\$M)</b>	5,214	<b>Traded Currency</b>	GBP
<b>Exp. Ratio</b>	0.12	<b>Div Yield (%)</b>	2.78	<b>30D Avg Turnover ('000)</b>	22,585

iShares MSCI Europe UCITS ETF EUR (Dist) is an open-end, UCITS compliant exchange traded fund incorporated in Ireland. The Fund aims to track the performance of the MSCI Europe Index. The MSCI Europe Index offers exposure to stocks from developed European countries. The fund distributes income received to shareholders.

### iShares Core FTSE 100 UCITS ETF

<b>Ticker</b>	ISF LN	<b>Total Assets (US\$M)</b>	7,951	<b>Traded Currency</b>	GBP
<b>Exp. Ratio</b>	0.07	<b>Div Yield (%)</b>	3.81	<b>30D Avg Turnover ('000)</b>	713,433

iShares Core FTSE 100 UCITS ETF is an open-end, UCITS compliant exchange traded fund incorporated in Ireland. The Fund aims to track the performance of the FTSE 100 Index. The fund distributes income received to shareholders.

### Vanguard FTSE 100 UCITS ETF

<b>Ticker</b>	VUKE LN	<b>Total Assets (US\$M)</b>	3,712	<b>Traded Currency</b>	GBP
<b>Exp. Ratio</b>	0.09	<b>Div Yield (%)</b>	3.86	<b>30D Avg Turnover ('000)</b>	3,442

Vanguard FTSE 100 ETF is a UCITS compliant, exchange-traded fund, domiciled in Ireland. The fund seeks to track the performance of the FTSE 100 Index, a widely recognised UK benchmark of the 100 most highly capitalised blue chip companies, representing approximately 83% of the UK market.

## OIL & GAS

### Energy Select Sector SPDR Fund

<b>Ticker</b>	XLE US	<b>Ttl Assets (US\$M)</b>	19,210	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.13	<b>Div Yield (%)</b>	2.91	<b>30D Avg Turnover ('000)</b>	1,196,574

Energy Select Sector SPDR Fund is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the Energy Select Sector Index. The ETF holds large-cap U.S. energy stocks. It invests in companies that develop & produce crude oil & natural gas, provide drilling and other energy related services. The holdings are weighted by market capitalization.

### Vanguard Energy ETF

<b>Ticker</b>	VDE US	<b>Ttl Assets (US\$M)</b>	4,451	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.10	<b>Div Yield (%)</b>	2.71	<b>30D Avg Turnover ('000)</b>	29,640

Vanguard Energy ETF is an exchange-traded fund incorporated in the USA. The Fund seeks to track the performance of the MSCI US Investable Market Energy Index. The Index consists of large, medium-size and small US companies within the energy sector that are involved with the construction or provision of oil rigs as well as the exploration, production and refining of oil/gas products.

### SPDR S&P Oil & Gas Exploration & Production ETF

<b>Ticker</b>	XOP US	<b>Ttl Assets (US\$M)</b>	3,096	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.35	<b>Div Yield (%)</b>	0.65	<b>30D Avg Turnover ('000)</b>	847,471

SPDR S&P Oil & Gas Exploration & Production ETF is an exchange-traded fund incorporated in the USA. The Fund's objective is to replicate as closely as possible the S&P Oil & Gas Exploration & Production Select Industry Index, an equal-weighted index.

### iShares U.S. Energy ETF

<b>Ticker</b>	IYE US	<b>Ttl Assets (US\$M)</b>	1,107	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.43	<b>Div Yield (%)</b>	2.64	<b>30D Avg Turnover ('000)</b>	21,672

iShares U.S. Energy ETF is an exchange-traded fund incorporated in the USA. The Fund's objective seeks investment results that correspond to the performance of the Dow Jones U.S. Energy Sector Index. The Fund will concentrate its investments in a particular industry or group of industries to approximately the same extent as the Index is so concentrated.

### iShares Global Energy ETF

<b>Ticker</b>	IXC US	<b>Ttl Assets (US\$M)</b>	1,681	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.47	<b>Div Yield (%)</b>	2.85	<b>30D Avg Turnover ('000)</b>	26,091

iShares Global Energy ETF is an exchange-traded fund incorporated in the USA. The Fund's objective is to seek investment results that correspond to the performance of the S&P Global Energy Index.

### United States Oil Fund LP

<b>Ticker</b>	USO US	<b>Ttl Assets (US\$M)</b>	1,874	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.72	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	279,137

United States Oil Fund LP is a Delaware limited partnership incorporated in the USA. The Fund's objective is to have changes in percentage terms of its unit's net asset value reflect the changes of the price of WTI Crude Oil delivered to Cushing, Oklahoma, as measured by changes in percentage terms of the price of the WTI Crude Oil futures contract on the NYMEX. The fund is a commodity pool.

## OIL & GAS

### Invesco DB Oil Fund

<b>Ticker</b>	DBO US	<b>Ttl Assets (US\$M)</b>	575	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.78	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	16,527

Invesco DB Oil Fund is an exchange-traded fund incorporated in the USA. The Fund's objective is to track the DBIQ Optimum Yield Crude Oil Index Excess Return.

### United States 12 Month Oil Fund LP

<b>Ticker</b>	USL US	<b>Ttl Assets (US\$M)</b>	81	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.79	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	854

United States 12 Month Oil Fund LP is an exchange traded fund incorporated in the USA. The Fund's objective is to have changes in percentage terms of its unit's net asset value reflect changes of the price of WTI Crude Oil delivered to Cushing, Oklahoma, as measured by changes in percentage terms of the price of an average of the next 12 month's WTI Crude Oil futures contracts on the NYMEX.

### CSOP WTI Oil Annual Roll December Futures ER ETF

<b>Ticker</b>	3135 HK	<b>Ttl Assets (US\$M)</b>	9	<b>Traded Currency</b>	HKD
<b>Exp. Ratio</b>	1.08	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	90

CSOP WTI Oil Annual Roll December Futures ER ETF is an exchange-traded fund incorporated in Hong Kong. The fund aims to provide investment results that, before fees and expenses, closely correspond to the performance of the BofA Merrill Lynch Commodity index eXtra CLA Index (Excess Return).

## OIL & GAS (LEVERAGED/INVERSE)

### ProShares Ultra Bloomberg Crude Oil

<b>Ticker</b>	UCO US	<b>Ttl Assets (US\$M)</b>	446	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	112,631

ProShares Ultra Bloomberg Crude Oil is an exchange-traded fund incorporated in the USA. The Fund will seek daily investment results that correspond to twice (200%) the daily performance of its corresponding benchmark, the Bloomberg Crude Oil Sub-Index.

### VelocityShares 3x Long Crude Oil ETN

<b>Ticker</b>	UWT US	<b>Ttl Assets (US\$M)</b>	234	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	1.50	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	109,593

VelocityShares 3x Long Crude Oil ETN is an exchange-traded note issued by Citigroup Global Markets Holdings Inc. The Note will provide investors with a cash payment at the scheduled maturity or early redemption based on the performance of the underlying index, S&P GSCI Crude Oil Index ER.

### ProShares UltraShort Bloomberg Crude Oil

<b>Ticker</b>	SCO US	<b>Ttl Assets (US\$M)</b>	159	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	32,073

ProShares UltraShort Bloomberg Crude Oil is an exchange-traded fund incorporated in the USA. The Fund will seek daily investment results that correspond to twice (200%) the inverse (opposite) the daily performance, whether positive or negative, of its corresponding benchmark. The Fund tracks a multiple or inverse multiple of the daily performance of the Bloomberg Crude Oil Sub-Index.

### VelocityShares 3x Inverse Crude Oil ETN

<b>Ticker</b>	DWT US	<b>Ttl Assets (US\$M)</b>	182	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	1.50	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	58,084

VelocityShares 3x Inverse Crude Oil ETN is an exchange-traded note issued by Citigroup Global Markets Holdings Inc. The Note will provide investors with a cash payment at the scheduled maturity or early redemption based on the performance of the underlying index, S&P GSCI Crude Oil Index ER.

## GOLD

### SPDR Gold Shares

<b>Ticker</b>	GLD US	<b>Ttl Assets (US\$M)</b>	34,624	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.40	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	754,593

SPDR Gold Shares is an investment fund incorporated in the USA. The investment objective of the Trust is for the Shares to reflect the performance of the price of gold bullion, less the Trust's expenses. The Trust holds gold and is expected from time to time to issue Baskets in exchange for deposits of gold and to distribute gold in connection with redemptions of Baskets.

### iShares Gold Trust

<b>Ticker</b>	IAU US	<b>Ttl Assets (US\$M)</b>	11,575	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.25	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	177,726

iShares Gold Trust is an investment fund incorporated in the USA. The Fund issues shares representing fractional undivided beneficial interests in its net assets. The assets of the Trust will consist primarily of gold held by the custodian on behalf of the Trust.

### ETFS Physical Gold

<b>Ticker</b>	PHAU LN	<b>Ttl Assets (US\$M)</b>	6,299	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.39	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	1,273

ETFS Physical Gold is an open-ended, UCITS eligible Exchange Traded Commodity, incorporated in Jersey and list across Europe and Asia. It is designed to track the Gold price less fees, allowing investors to invest in the precious metals market. It is backed by physically allocated metal bullion which has no credit risk. The security only holds LBMA (London Bullion Market) Good Delivery bars.

### VanEck Vectors Gold Miners ETF

<b>Ticker</b>	GDX US	<b>Ttl Assets (US\$M)</b>	7,803	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.53	<b>Div Yield (%)</b>	0.78	<b>30D Avg Turnover ('000)</b>	688,734

VanEck Vectors Gold Miners ETF is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the NYSE Arca Gold Miners Index. The ETF invests in materials stocks of all cap sizes across the globe. Its largest allocation is in North American companies, principally those domiciled in Canada. The ETF weights the holdings using a market capitalization methodology.

### VanEck Vectors Junior Gold Miners ETF

<b>Ticker</b>	GDXJ US	<b>Ttl Assets (US\$M)</b>	4,744	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.54	<b>Div Yield (%)</b>	0.03	<b>30D Avg Turnover ('000)</b>	283,459

VanEck Vectors Junior Gold Miners ETF is an exchange traded fund incorporated in the USA. The Fund's objective is to replicate as closely as possible, before fees and expenses, the price and yield performance of the MVIS Junior Gold Miners Index. The Fund will normally invest at least 80% of its total assets in securities that comprise the Fund's benchmark index.

### iShares MSCI Global Gold Miners ETF

<b>Ticker</b>	RING US	<b>Ttl Assets (US\$M)</b>	335	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.39	<b>Div Yield (%)</b>	0.44	<b>30D Avg Turnover ('000)</b>	3,074

iShares MSCI Global Gold Miners ETF is an exchange-traded fund incorporated in the USA. The ETF seeks investment results that correspond generally to the price and yield performance, before fees and expenses, of the MSCI ACWI Select Gold Miners Investable Market Index.

## GOLD (LEVERAGED)

### DB Gold Double Long Exchange Traded Notes

<b>Ticker</b>	DGP US	<b>Ttl Assets (US\$M)</b>	144	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.75	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	286

DB Gold Double Long ETN is an exchange-traded note issued in the USA by Deutsche Bank AG (London). The Notes will provide investors with a cash payment at the scheduled maturity or early redemption, based on the double the performance of its underlying index plus the monthly T-Bill index return.

### VelocityShares 3x Long Gold ETN linked to the S&P GSCI Gold Index

<b>Ticker</b>	UGLD US	<b>Ttl Assets (US\$M)</b>	124	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	1.35	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	7,052

VelocityShares 3x Long Gold ETN is an exchange-traded note issued in the USA. The Note will provide investors with a cash payment at the scheduled maturity or early redemption based on the performance of the underlying index, the S&P GSCI® Gold Index Excess Return.

### Direxion Daily Gold Miners Index Bull 3X Shares

<b>Ticker</b>	NUGT US	<b>Ttl Assets (US\$M)</b>	1,254	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	107,161

Direxion Daily Gold Miners Bull 3X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results of 300% of the NYSE Arca Gold Miners Index.

### Direxion Daily Junior Gold Miners Index Bull 3x Shares

<b>Ticker</b>	JNUG US	<b>Ttl Assets (US\$M)</b>	839	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	123,409

Direxion Daily Junior Gold Miners Index Bull 3x Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results of 300% of the Market Vectors Junior Gold Miners Index.

## GOLD (INVERSE)

### DB Gold Short Exchange Traded Notes

<b>Ticker</b>	DGZ US	<b>Ttl Assets (US\$M)</b>	19	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.75	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	132

DB Gold Short ETN is an exchange-traded note issued in the USA by Deutsche Bank AG (London). The Notes will provide investors with a cash payment at the scheduled maturity or early redemption, based on the inverse (opposite) performance of its underlying index plus the monthly T-Bill index return.

### DB Gold Double Short Exchange Traded Notes

<b>Ticker</b>	DZZ US	<b>Ttl Assets (US\$M)</b>	40	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.75	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	166

DB Gold Double Short ETN is an exchange-traded note issued in the USA by Deutsche Bank AG (London). The Notes will provide investors with a cash payment at the scheduled maturity or early redemption, based on the double the inverse (opposite) performance of its underlying index plus the monthly T-Bill index return.

## GOLD (INVERSE)

### VelocityShares 3x Inverse Gold ETN

<b>Ticker</b>	DGLD US	<b>Ttl Assets (US\$M)</b>	17	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	1.35	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	2,492

VelocityShares 3x Inverse Gold ETN is an exchange-traded note issued in the USA. The Note will provide investors with a cash payment at the scheduled maturity or early redemption based on the performance of the underlying index, the S&P GSCI® Gold Index Excess Return.

### Direxion Daily Gold Miners Index Bear 3X Shares

<b>Ticker</b>	DUST US	<b>Ttl Assets (US\$M)</b>	288	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	85,371

Direxion Daily Gold Miners Bear 3X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results of 300% the inverse (opposite) of the NYSE Arca Gold Miners Index.

### Direxion Daily Junior Gold Miners Index Bear 3X Shares

<b>Ticker</b>	JDST US	<b>Ttl Assets (US\$M)</b>	96	<b>Traded Currency</b>	USD
<b>Exp. Ratio</b>	0.95	<b>Div Yield (%)</b>	N/A	<b>30D Avg Turnover ('000)</b>	42,269

Direxion Daily Junior Gold Miners Index Bear 3X Shares is an exchange-traded fund incorporated in the USA. The Fund's objective is daily investment results of 300% the inverse (opposite) of the Market Vectors Junior Gold Miners Index.