

DECLARATION FORM FOR AUSTRALIAN RESIDENCY STATUS FOR TAX PURPOSES

Ref:22062010

To: OCBC Securities Pte Ltd (OSPL)
 18 Church Street #01-00
 OCBC Centre South
 Singapore 049479
 Tel: 1800 338 8688 Fax: 6538 9115

SECTION A: TO DETERMINE AUSTRALIAN RESIDENCY STATUS FOR TAX PURPOSES

(i) For Personal Account:

- a. Have you always lived in Australia? YES NO
- b. Have you moved to Australia and live there permanently? YES NO
- c. Have you been in Australia continuously for six months or more and for most of the time you have been in one job and living in the same place? YES NO
- d. Have you been in Australia for more than half of the financial year and your usual home is not overseas and you intend to live in Australia? YES NO

For Corporate Account:

- a. Is the Company incorporated in Australia? YES NO
- b. Is the Company, although not incorporated in Australia, it carries on business in Australia and has either its central management and control in Australia, or its voting power controlled by shareholders who are residents of Australia. YES NO

- ❖ If your answer is YES to any one of the questions above under the relevant account, you/the Company are/is considered an **Australian Resident** for tax purposes. Pls note that due to Australian Tax implications, we are unable to support Australian Resident in their trading in Australia Market.
- ❖ If your answer is NO to all the questions above under the relevant account, you/the Company are/is considered an **Australian Non-Resident** for tax purposes. Pls proceed to (ii).

(ii) For Australian Non-Resident only

- a. Do you/the Company hold any Australian Tax Exemption from the Australian Tax Office? YES NO

❖ If YES, pls provide documentary evidence in the form of an exemption certificate from the Australian Tax Office. (Tax Exempt A/C - CT1 / CT2 / CT3)

- b. Your domicile country is/ the Company is a tax resident of one of those listed below that enjoys a tax treaty with Australia:

Pls tick one

- | | | |
|--|---|---|
| <input type="checkbox"/> Argentina | <input type="checkbox"/> Ireland | <input type="checkbox"/> Russia |
| <input type="checkbox"/> Austria | <input type="checkbox"/> Italy | <input type="checkbox"/> Singapore |
| <input type="checkbox"/> Belgium | <input type="checkbox"/> Japan | <input type="checkbox"/> Slovak Republic |
| <input type="checkbox"/> Canada | <input type="checkbox"/> Kiribati | <input type="checkbox"/> South Africa |
| <input type="checkbox"/> China (People's Republic) | <input type="checkbox"/> Korea | <input type="checkbox"/> Spain |
| <input type="checkbox"/> Czech Republic | <input type="checkbox"/> Malaysia | <input type="checkbox"/> Sri Lanka |
| <input type="checkbox"/> Denmark | <input type="checkbox"/> Malta | <input type="checkbox"/> Sweden |
| <input type="checkbox"/> East Timor (Timor Sea Treaty) | <input type="checkbox"/> Mexico | <input type="checkbox"/> Switzerland |
| <input type="checkbox"/> Fiji | <input type="checkbox"/> Netherlands | <input type="checkbox"/> Taipei |
| <input type="checkbox"/> Finland | <input type="checkbox"/> New Zealand | <input type="checkbox"/> Thailand |
| <input type="checkbox"/> France | <input type="checkbox"/> Norway | <input type="checkbox"/> United Kingdom |
| <input type="checkbox"/> Germany | <input type="checkbox"/> Papua New Guinea | <input type="checkbox"/> United States |
| <input type="checkbox"/> Hungary | <input type="checkbox"/> Philippines | <input type="checkbox"/> Vietnam |
| <input type="checkbox"/> India | <input type="checkbox"/> Poland | <input type="checkbox"/> Others _____ (pls specify) |
| <input type="checkbox"/> Indonesia | <input type="checkbox"/> Romania | |

- ❖ If your domicile country/the country that the company resides in has tax treaty with Australia, you may refer to the Australian Taxation Office website for the relevant tax treaty rate (Tax Treaty A/C - CT4 / CT5)
<http://www.ato.gov.au/businesses/content.asp?doc=/content/50240.htm&page=15&H15>
- ❖ If your domicile country/the country that the company resides in does not have tax treaty with Australia, withholding tax rate for non-resident shall apply. (Non Treaty A/C - CT6 / CT7)

SECTION B: DECLARATION & AUTHORISATION

I/We (Account Name) _____ (Account No.) _____ hereby declare and confirm as follows:

1. I/we have read, fully understood and I/we will ensure that I/we am/are in full compliance with the rules and guidelines on Australian Residency Status for Tax Purposes.
2. I/We undertake that I/we shall immediately notify OCBC Securities Private Limited ("OSPL") should there be any change in my/our Australian Residency status. I/We confirm that OSPL may rely on the information relating to my/our Australian Residency status available in its records.
3. I/We undertake that I/we will keep myself/ourselves updated on any changes to the rules and guidelines on Australian Residency Status for Tax Purposes, and ensure that I/we am/are in full compliance with these rules and guidelines at all times. The rules and guidelines could be found in the Australian Taxation Office website at <http://www.ato.gov.au/>.
4. I/We hereby irrevocably and unconditionally undertake to indemnify OSPL and hold OSPL harmless from and against all losses, damages, costs, penalties and expenses whatsoever legal or otherwise, which OSPL may sustain, suffer or incur as a consequence of any false or misleading information given by me/us in this Declaration.

Name(s) & Signature(s) / Date _____

Company Stamp (if applicable) _____

FOR OFFICIAL USE ONLY

CS	Signature Verified/ Witnessed By/Date:	Multiple accounts:	CT1 TAX EX A/C - EO1	CT4 TAX TR A/C - EO1
	Visual-Info/OTC/SV/PV		CT2 TAX EX A/C - NON EO1	CT5 TAX TR A/C - NON EO1
SDP	Updated by / Date :	Checked by / Date:	CT3 TAX EX A/C - ALL INC TYPES	CT6 TAX NTR A/C - EO1
	* Pls delete the existing AUS Location Code (if any) * Pls inform Settlement on the change in Location Code		<small>EO1 Countries: Bermuda/Antigua & Barbuda/Netherlands Antilles</small> CT7 TAX NTR A/C - NON EO1 *For PB a/c. use Loc Code: COMM	