

Enhanced Amendment Function for SGX Market

Currently, you can only reduce the balance quantity on your existing order via iOCBC.

We are pleased to inform that we are in the progress of enhancing the amendment function to allow you to amend more fields for your existing order.

Once effective, you can leverage on this enhanced amendment function to speed up your amendments on iOCBC for the following:

- Increase* / decrease in balance quantity
- Change in Price*
- Change in Payment Mode (i.e. Cash / CPF / SRS)
- Change in Settlement Currency

Note:

- Amendment is applicable for unfulfilled balance quantity only
- *You will lose your queue priority for increase in balance quantity and any amendment in price
- If you wish to make amendment (eg. Payment mode, Settlement Currency) for those fulfilled quantity, you will need to contact your Trading Representative immediately for further assistance

Amendment Screen



The screenshot shows the 'Amendment' window with the following details:

Client Name	Trading A/C No.	Stock Name	Ccy	Price	Qty	Disclosed Qty	Balance	Mode	Settle Ccy
		HPH							
		ITrust	USD	0.6805	0,000		5,000	Cash	USD
		USS							

Note:
Please enter only those field that you wish to make amendment (eg Enter new price or quantity)
You will lose your queue priority if there is any increase/decrease in price, and increase in quantity

Mode: CPF Cash SRS Settlement Ccy:

New Bal Qty:

New Price:

Buttons: OK, Cancel

Important Note (highlighted in a red box)

Implication on your existing order queue priority

Amendment Type (only applicable for unfulfilled quantity)	For Examples	Queue Priority	Remarks
Payment Mode (Cash/CPF/SRS)	From "Cash" to "CPF/SRS" From "CPF" to "Cash/SRS" From "SRS" to "Cash/CPF"	No Change	<ul style="list-style-type: none"> For CPF/SRS trades: Settlement must be in SGD CPF trades are only allowed for approved stock in SGX
Settlement Currency	-	No Change	Allowed settlement currency is SGD or traded currency
Decrease Quantity	Decrease in unfulfilled quantity	No Change	-
Increase Quantity	Increase in unfulfilled quantity	(Warning!) Queue priority will be lost for <u>entire unfulfilled quantity</u>	Kindly review your order carefully before amending your order
Order Price	Amend Order Price upwards/downwards		Benefit: You can speed up your new order submission without going through the hassle to cancel your existing order and re-enter all the fields

Illustration 1: Amend in price and increase in unfulfilled quantity at the same time

Amendment Type	Original Order	Amendment (Enter the fields accordingly)	Remarks
Mode	Cash	-	No action needed if you do not wish to make any changes to the payment mode
Settlement Currency	USD	SGD ¹	Change from USD to SGD
Quantity	5000	10,000 ²	Increase from 5000 to 10000
Order Price	0.68	0.69 ³	Increase from \$0.68 to \$0.69



Important Note

Illustration 2a:
Decrease in unfulfilled quantity when order was partially fulfilled for 6000 shares



Client Name	Trading A/C No.	Stock Name	Ccy	Price	Qty	Executed Qty	Balance	Mode	Settle Ccy
7777777	7777777	Oceanus S00	0.14	10,000	10,000	6,000	4,000	Cash	S00

Note:
Please enter only those field that you wish to make amendment (eg Enter new price or quantity)
You will lose your queue priority if there is any increase/decrease in price, and increase in quantity

Mode: CPF Cash SRS Settlement Ccy:

New Balance Qty

New Price:

Password:

OK Cancel

“Qty” refers to order quantity submitted for 10,000 shares
“Balance” refers to unfulfilled quantity for 4,000 shares
Executed quantity: 6,000

How to do amendment on an existing order: Co. Reg. No.: 196600262R

- To amend the unfulfilled quantity from 4000 to 3000, enter “3000” under “New Balance Qty” accordingly
- Your queue priority will be maintained
- When the order for 3,000 is executed, total quantity executed will be 9,000 (ie 6000 + 3000)

Illustration 2b:
Increase in unfulfilled quantity when order was partially fulfilled for 6000 shares

Client Name	Trading A/C No.	Stock Name	Ccy	Prio	Qty	Unfilled Qty	Balance	Mode	Settle Ccy
7777777	7777777	Oceanus SGD 0.14	SGD	0	10,000	0	4,000	Cash	SGD

Note:
Please enter only those field that you wish to make amendment (eg Enter new price or quantity)
You will lose your queue priority if there is any increase/decrease in price, and increase in quantity

Mode : CPF Cash SRS Settlement Ccy :

New Balance Qty :

New Price :

Password :

“Qty” refers to order quantity submitted for 10,000 shares
“Balance” refers to unfulfilled quantity for 4,000 shares
Executed quantity: 6,000

How to make an amendment for an existing order:

- To amend the unfulfilled balance quantity from 4000 to 14,000, enter “14000” under “New Balance Qty” accordingly
- **Warning!** Your queue priority will be lost, i.e. your order for entire 14,000 shares will be placed in new queue by SGX. **In order to retain your existing queue priority for the balance of 4,000 shares, you should submit a new order for additional 10,000 shares**
- When the order for 14,000 is executed, total quantity executed will be 20,000 (ie 6000 + 14000)

To view Order Details and Amendment Log

Access your iOCBC order book and click on “**Details**” button. To view the amendment log, further click on “Show Details for Amendment Orders”.

Based on the screen shot below, quantity filled is 6,000 shares and balance quantity is 14,000. Once the order is fully executed, total executed quantity will be 20,000, i.e. item (i) and item (ii)

Order Details						
Account	7777777	Order Type	Limit			
Market	SOX	Validity	Day			
Code	579	Session	–			
Stock Name	Oceanus	Traded Currency	SOD			
Mode	Cash	Settlement Currency	SOD			
Action	Buy	Submit Time	13-09-2011 16:34:20			
Order Price	0.140	Submitted By	–			
Original Quantity	10,000	Submitted By	–			
Total Qty	14,000	Order Source	Web English			
Disclosed Quantity	–	Order Source	Web English			
Quantity Filled	8,000	Status	Reduced order accepted in queue			
Balance	14,000					

Done Time	Settle Ccy	Done Price	Done Quantity	Mode	Counter Party	Order No.
13-09-2011 16:22:14	SOD	0.140	5,000	Cash	–	53A89AC10000A876
13-09-2011 16:24:45	SOD	0.140	1,000	Cash	–	53A89AC10000A876

Total Qty
 (i) Fulfilled Qty
 (ii) Unfulfilled Qty
 Amendment Log
 Close

Important Notes for Trading in Securities

Trading in securities can be very risky, and the customer may lose all or more than the amount invested or deposited. This is particularly so when the customer needs to i) make his or her own trading decisions and ii) ensure each trade is specifically suitable for him or her – the only basis for any trading through or with OCBC Securities Private Limited (“OSPL”).

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